Research Administration Forum

October 9, 2007

Agenda

- 8:30 Welcome
- 8:40 Effort Reporting - COGR Paper
- 9:00 Kuali Research Administration
- 9:20 Updates from the Office of Research Integrity and Assurance
- 9:40 Research Administration Forum Briefs
- 9:55 Question & Answer Time
Welcome

Robert A. Buhrman
John Edson Sweet Professor of Engineering, Applied and Engineering Physics
Vice Provost for Research

Policies and Practices: Compensation, Effort Commitments, and Certification
A Report from the Council on Governmental Relations

Joanne M. DeStefano
Vice President for Financial Affairs and University Controller
POLICIES AND PRACTICES

COMPENSATION, EFFORT COMMITMENTS, AND CERTIFICATION
Kuali Research Administration
KRA

Pamela T. Plotkin, PhD
Deputy Director
Sponsored Program Services

What is Kuali?
Kuali = Humble utensil that plays an important role in the kitchen.

Kuali = Group that develops important administrative systems in higher ed
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KRA - Evolution

Kuali Organization

Kuali Financial System
- Project Board
  - Project Management
  - Functional Council
- Development Teams
- Subject Matter Experts

Kuali Research Administration
- Project Board
  - Project Management
  - Functional Council
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Kuali Rice
- Development Team
  - KNS Kuali Nervous System
  - KEN Kuali Enterprise Notification
  - KCE Kuali Enterprise Workflow
  - KSS Kuali Services Bus

Kuali Student
- Project Board
  - Project Management
  - Functional Council
- Development Teams
- Subject Matter Experts

Kuali Foundation
- Collaboration Infrastructure
- Licensing
- Legal Services
- Bug Tracking
- Documentation

Partner Institutions

Commercial Affiliates

November 2006
What are Kuali & KRA

- Kuali began as an open source initiative to create a financial system (KFS) for higher education.
- Kuali partners identified research administration gaps in financial modules
- Kuali licensed MIT Coeus as a base for Kuali Research Administration (KRA)
- KRA incorporates functions performed at Cornell by:
  - Sponsored Program Services
  - Office of Research Integrity and Assurance
KRA – Kuali Philosophy & Infrastructure

- Identify “best of breed” solution as base for each module
- Common Kuali User Interface
- Leverage Kuali Rice architecture and development standards

KRA - Partner Institutions

- Cornell University
- Massachusetts Institute of Technology
- Michigan State University
- University of Arizona
- University of Indiana
- Colorado State University
- Coeus Consortium institutions
Why Kuali at Cornell?

- Need for financial system upgrade lead to joining KFS effort
- Belief in open source model
- Recognition of gap in KFS functionality lead to support of and membership in KRA
- Interest in integration of financial, sponsored and research compliance systems
- Need for Grants.gov S2S and research compliance systems

KRA Activity at Cornell
Steering Committee

- To ensure full campus participation and representation in the KRA development process
- The steering committee meets monthly.
- Members: Joanne DeStefano, Kay Obendorf, Barbara Knuth, Jeff Newman, Polley McClure, Charlie Fay, Harry Lander, Dave Koehler, Jeff Silber, Ray Stinson, Dan Dwyer
Subject Matter Experts (SME) Groups

- Group is formed with two to four members per partner institution. Participants part time
- Lead SME is identified. Works closely with development manager & business analyst
- Weekly conference calls and possible, but infrequent face-to-face meeting
- Translates functionality into specifications
- Validates code generated by developers.

Cornell SME Mirror Group

- Representative of all Cornell stakeholders for a specific KRA module
- Review KRA development and provide input on functionality and priorities to be fed back to KRA SME group
- Meet about monthly
- Respond via e-mail to specific questions as needed
Cornell Technical Contributions

- KRA Project Manager
- KRA Lead Business Analyst
- KRA Development Manager for IRB
- KRA Developer
- KFS Contract and Grants Development Mgr
- Kuali Technical Council
- Kuali Rice
- Kuali Enterprise Workflow

Mock Screen Design Critiques

- To collect input on user interface early in development process
- Periodic two-hour web conferences with broad group of stakeholders including faculty
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Cornell KRA Web Site

- To share information across campus and facilitate communication among project participants
- Find link at http://kuali.cornell.edu/
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Interested in Participating?

Contacts:
- Pam Plotkin (ptp8) – Proposal/Budget
- Dan Dwyer (djd1) - IRB
- Chris Ashdown (cma20) – Award
http://kuali.cornell.edu/

Office of
Research Integrity & Assurance

E. Ray Stinson, Ph.D.
Assistant Vice President

Hima Vatti
Associate Director

October 9, 2007
ORIA New Address

• Suite 320
• 395 Pine Tree Road
• East Hill Office Building
• Telephone Numbers: Remain the Same
• Fax Numbers: Remain the Same
• Shuttle Service: Available from Day Hall every 10 minutes

Human Research Protection Program

• New Web Site: http://www.irb.cornell.edu/
• Newsletter Sent to PIs 6 times per year
  – To request subscription email Matthew Aldridge (ma354@cornell.edu)
• New Name for the IRB for Human Participants
• New Charge to the IRB
• Institutional Policy being developed
• Decision Tree and New Policies
• Sarah Demo Retiring October 19, 2007
• Coeus being implemented to support HRPP
Animal Care and Use Committee

• New Web Site:  http://www.iacuc.cornell.edu/
• Additions to eSirius:  Test of Procurement and Census
• Newsletter Sent to PIs and Key Personnel 6 times per year
  – To request subscription email Matthew Aldridge (ma354@cornell.edu)
• Review of Grant Application to IACUC Protocol
• Annual Continuation of all Protocols

Institutional Biosafety Committee

• New Web Site:  http://www.ibc.cornell.edu/
• Institutional Processing of all proposed Field Studies now being supported by Debra Dwyer in ORIA (dad3@cornell.edu), 607 255-7219
• Newsletter now being developed
Kuali Research Administration

• KRA Development Program
  – Human Research Protection Program – Dan Dwyer
  – Animal Research Protection Program – Michelle DeStefano
  – Conflict of Interest – Debra Dwyer, E. Ray Stinson
• KRA Campus Implementation
  – Coeus IRB and then migrate to KRA IRB
• PeopleSoft Learning Management System
• Interested in being part of testing?
  – email E. Ray Stinson (ers39@cornell.edu)

Export Controls Updates

Hima Vatti
Associate Director, ORIA
hv34@cornell.edu
607 255-8994
Training Activities

• Online Training: Available Monday, Oct 15
  – http://www.oria.cornell.edu/
• Highlights
  – General information
  – 30-minute Primers (Humanities, Sciences)
  – Decision Charts
• Please call or email Hima Vatti with any questions.
• Please call or email Hima Vatti to request in-person trainings.

RAF Briefs

Jeffrey Silber
Division of Financial Affairs
RAF Briefs: Federal Agency

- Change in NSF Merit Review Process (2008)
- NIH Continuing Resolution Funding (80%)
- Often: no budget = late (or no) increments

RAF Briefs: Compliance

- Compliance continues to be major government focus
  - HHS Work Plan
  - NSF Work Plan
    - Not out yet … but we’re a part of it
- Top two: Effort and Cost Transfers
  - And add Conflict of Interest and Subrecipient Monitoring for the top four
  - How closely are you monitoring your subs?
RAF Briefs: New Staff Announcements

• SPS Agreements
  – Pamela Plotkin

• SPS Financial
  – Kelly Gardner, Cheri Knapp, Ilene Lambiase, Suzanne Smith, Janet Strait

• Cost and Capital Assets
  – Wil Tarbert

RAF Briefs: Miscellaneous

• Agreements executed without authority
• Proposal review and submission
• Research Administration Certification Program
• SPS-Agreements to move in November
East Hill Shuttle

Transportation Services is offering a pilot shuttle service that travels between the central campus and the East Hill facilities every 10 minutes.

The first shuttle leaves the Statler Hall shelter on East Avenue at 7:40 a.m., and East Hill Office Building (EHOB) at 7:50; the last shuttle of the day leaves from the EHOB circle drive at 5:10.

Shuttles, operated by CU Transit and Ithaca Airline Limousine, are identified by an “East Hill Shuttle” placard. A route map is inside this brochure.

There is no charge for this express shuttle service, but passengers are required to show their faculty or staff CU ID card, or to present an East Hill Shuttle pass. Passes for visitors and students can be requested in advance from C&PS.

TCAT Bus Service

TCAT routes currently travel from East Hill Plaza to the central campus area every 30 minutes. Cornell employees can ride TCAT buses between campus facilities (Zone One) and pay no fare just by using their CU photo ID at the fare box.

TCAT route 50 currently connects East Hill Plaza to central campus on weekdays. It leaves the Statler Hall shelter every 30 minutes from 7:52 a.m. to 6:52 p.m.; it leaves East Hill Plaza for central campus via the Vet College every 30 minutes from 7:26 a.m. to 6:56 p.m.

Monday through Saturday, Route 51 travels from Statler Hall to East Hill Plaza every hour from 6:37 a.m. to 6:37 p.m.; and from East Hill Plaza to Seneca Place every hour from 6:46 a.m. to 6:46 p.m. Route 51 runs Sundays as well from about 9:30 a.m. to 6:00 p.m.

Complete TCAT route and schedule information is available at www.tcatbus.com and 277-RIDE.

Emergency & Info Numbers

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<th>Service</th>
<th>Phone Number</th>
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<tr>
<td>Emergencies</td>
<td>911</td>
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<tr>
<td>Cornell Police Department</td>
<td>255-1111</td>
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<tr>
<td>Commuter &amp; Parking Services</td>
<td>255-PARK</td>
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<td>Gannett Health Center</td>
<td>255-5155</td>
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<td>Cornell Information and Referral</td>
<td>254-INFO</td>
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<td>M<em>A</em>A*P (Motorist Assist Program)</td>
<td>255-0000</td>
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<td>TCAT Public Transit Service</td>
<td>277-RIDE</td>
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<td>TCAT TDD</td>
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Use outdoor Blue Light phones for any on-campus emergency, information, and directions.

Commuter and Parking Services
116 Maple Avenue
Ithaca, New York 14850-4902
607.255.PARK

transportation@cornell.edu
www.parking.cornell.edu
Question and Answer Time

Suggestions for Future Forums?